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UNITED STATES PATENT AND TRADEMARK OFFICE



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Page 1 of 1 #3

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,993	12/19/2001	John M. Fevig	PH-7263

24348
BRISTOL-MYERS SQUIBB PHARMA COMPANY
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NJ 08543-4000

CONFIRMATION NO. 1186

FORMALITIES LETTER



OC00000007732047

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Fedor

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AWONDAF1 00000040 193880 10026993

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Fevig et al

APPLICATION NO.: 10/026,993

FILED: DECEMBER 19, 2001

CASE NO.: PH-7263

GROUP ART UNIT: 1615

EXAMINER: UNKNOWN

FOR: SUBSTITUTED PYRROLOQUINOLINES AND PYRIDOQUINOLINES AS SEROTONIN AGONISTS AND ANTAGONISTS

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION-FILING DATE GRANTED**

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed March 27, 2002 (a copy of which is attached), enclosed is a copy of the Declaration and Power of Attorney for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 193880 (Bristol-Myers Squibb Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,



SCOTT K. LARSEN, PH.D., J.D.
ATTORNEY FOR APPLICANTS
REGISTRATION NO. 38,532
TELEPHONE: 302-467-5261

Dated: 5-3-2002

Bristol-Myers Squibb Company
P.O. Box 4000
Princeton, NJ 08543-4000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

MAY 13 2002

U.S. PATENT & TRADEMARK OFFICE

TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

Complete if Known

Application Number	10/026,993
Filing Date	December 19, 2001
First Named Inventor	John M. Fevig et al
Examiner Name	Unknown
Group / Art Unit	1615
Attorney Docket No.	PH-7263

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METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account Number **193880**
 Deposit Account Name **Bristol-Myers Squibb Company**
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	355	Utility filing fee	
106	330	206	160	Design filing fee	
107	510	207	245	Plant filing fee	
108	740	208	355	Reissue filing fee	
114	160	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	-20**	=	Extra Claims	X	Fee from below	=	Fee Paid	0
Independent Claims	-3**	=	0	X		=	0	
Multiple Dependent				X		=	0	

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	40	Independent claims in excess of 3	
104	280	204	135	Multiple dependent claim, if not paid	
109	84	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	195	Extension for reply within second month	
117	920	217	445	Extension for reply within third month	
118	1,440	218	695	Extension for reply within fourth month	
128	1,960	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 (RCE)	710	279	355	Request for Continued Examination	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

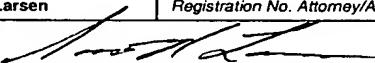
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

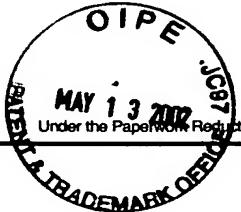
Complete (if applicable)

Name (Print/Type)	Scott K. Larsen	Registration No. Attorney/Agent)	38,532	Telephone	302-467-5261
Signature					Date May 3, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Certificate of Mailing under 37 CFR 1.8

In the Application of: Fevig et al

CASE NO.: PH-7263

APPLICATION NO.: 10/026,993

GROUP ART UNIT: 1615

FILED: DECEMBER 19, 2001

EXAMINER: UNKNOWN

FOR: SUBSTITUTED PYRROLOQUINOLINES AND PYRIDOQUINOLINES AS SEROTONIN AGONISTS AND ANTAGONISTS

I hereby certify that this correspondence a **Response to Notice to File Missing Parts of Application – Filing Date Granted, Declaration and Power of Attorney Forms, PTO Notice to File Missing Parts, Fee Transmittal Sheet and Postcard** are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On 5-3-2002.

Date


Signature

Diane C. Pickering/Depositor

Type or printed name of person signing Certificate

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